IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Inventor:

Willis, et al.

Docket No.:

10060.0100

Serial No.:

09/844,198

Examiner:

Jocelyn Greimel

Filing Date:

April 27, 2001

Art Unit:

3693

Title:

Computer-implemented Method and Apparatus for Adjusting the Cost Basis

of a Security

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Commissioner:

This amendment is made in response to the Office Action mailed July 20, 2007.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.